

**RESOLUTION
CITY OF SAINT PAUL, MINNESOTA**

Presented by _____

1 WHEREAS, the City of Saint Paul, Police Department has been awarded the Sex Trafficking
2 Investigations and Training 2016 from the State of Minnesota, Department of Public Safety through
3 their Office of Justice Programs in the amount of \$63,000 for a term of January 1, 2016 through
4 December 31, 2017; and
5
6 WHEREAS, this grant is state funded (Chapter 65, Article 1, Section 11, Subdivision 6) and provides
7 funding to support new or existing multijurisdictional entities to investigate sex trafficking crimes and to
8 provide technical assistance for sex trafficking crimes, including training and case consultation to law
9 enforcement agencies statewide; and
10
11 THEREFORE BE IT RESOLVED, that the Saint Paul City Council accepts this grant, and authorizes
12 the City of Saint Paul to enter into, and Chief Thomas E. Smith to implement the attached agreement
13 with the State of Minnesota, Department of Public Safety.

Requested by Department of: **POLICE**



By: **Thomas E. Smith, Chief of Police**



Minnesota Department of Public Safety (“State”) Commissioner of Public Safety Office of Justice Programs 445 Minnesota Street, Suite 2300 St. Paul, MN 55101-2139	Grant Program: Sex Trafficking Investigations and Training 2016 Grant Agreement No.: A-STIT-2016-SPPD-00022
Grantee: City of St Paul, Police Department 367 Grove Street St Paul, Minnesota 55101	Grant Agreement Term: Effective Date: 1/1/2016 Expiration Date: 12/31/2017
Grantee’s Authorized Representative: Thomas E. Smith, Chief of Police St. Paul Police Department 367 Grove Street St Paul, Minnesota 55101 (651) 266-5588	Grant Agreement Amount: Original Agreement \$63,000.00 Matching Requirement \$0.00
State’s Authorized Representative: Kristin Lail, Grants Specialist Coordinator Office of Justice Programs Bremer Tower, Suite 2300 445 Minnesota Street St Paul, Minnesota 55101 (651) 201-7322	Federal Funding: None State Funding: Minnesota Laws of 2015, Chapter 65, Article 1, Section 11, Subdivision 6 Special Conditions: Attached and incorporated into this grant agreement. See page 3.

Under Minn. Stat. § 299A.01, Subd 2 (4) the State is empowered to enter into this grant agreement.

Term: Effective date is the date shown above or the date the State obtains all required signatures under Minn. Stat. § 16B.98, subd. 7, whichever is later. Once this grant agreement is fully executed, the Grantee may claim reimbursement for expenditures incurred pursuant to the Payment clause of this grant agreement. Reimbursements will only be made for those expenditures made according to the terms of this grant agreement. Expiration date is the date shown above or until all obligations have been satisfactorily fulfilled, whichever occurs first.

The Grantee, who is not a State employee will:

Perform and accomplish such purposes and activities as specified herein and in the Grantee’s approved Sex Trafficking Investigations and Training 2016 Application (“Application”) which is incorporated by reference into this grant agreement and on file with the State at 445 Minnesota Street, Suite 2300, St. Paul, Minnesota 55101-2139. The Grantee shall also comply with all requirements referenced in the Sex Trafficking Investigations and Training 2016 Guidelines and Application which includes the Terms and Conditions and Grant Program Guidelines (<http://app.dps.mn.gov/Egrants>), which are incorporated by reference into this grant agreement.

Budget Revisions: The breakdown of costs of the Grantee’s Budget is contained in Exhibit A, which is attached and incorporated into this grant agreement. As stated in the Grantee’s Application and Grant Program Guidelines, the Grantee will submit a written change request for any substitution of budget items or any deviation and in accordance with the Grant Program Guidelines. Requests must be approved prior to any expenditure by the Grantee.

Matching Requirements: (If applicable.) As stated in the Grantee’s Application, the Grantee certifies that the matching requirement will be met by the Grantee.



Payment: As stated in the Grantee's Application and Grant Program Guidance, the State will promptly pay the Grantee after the Grantee presents an invoice for the services actually performed and the State's Authorized Representative accepts the invoiced services and in accordance with the Grant Program Guidelines. Payment will not be made if the Grantee has not satisfied reporting requirements.

Certification Regarding Lobbying: (If applicable.) Grantees receiving federal funds over \$100,000.00 must complete and return the Certification Regarding Lobbying form provided by the State to the Grantee.

1. ENCUMBRANCE VERIFICATION

Individual certifies that funds have been encumbered as required by Minn. Stat. §§ 16A.15 and 16C.05.

Signed: _____

Date: _____

Grant Agreement No. A-STIT-2016-SPPD-00022/3-38270

3. STATE AGENCY

By: _____
(with delegated authority)

Title: _____

Date: _____

2. GRANTEE

The Grantee certifies that the appropriate person(s) have executed the grant agreement on behalf of the Grantee as required by applicable articles, bylaws, resolutions, or ordinances.

By: _____

Title: Chief of Police

Date: _____

By: _____

Title: Director of the Office of Financial Services

Date: _____

By: _____

Title: Mayor

Date: _____

By: _____

Title: City Attorney

Date: _____

By: _____

Title: Director of Human Rights and Equal Economic Opportunity

Date: _____

Distribution: DPS/FAS
Grantee
States' Authorized Representative



Special Conditions

1. Time limitations on funding use:

\$31,500.00 is available from January 1, 2016 through June 30, 2017.

\$31,500.00 is available from July 1, 2016 through December 31, 2017.

Budget Summary

Sex Trafficking Investigations: Human Trafficking Capacity	Award			
Building				
Budget Category	Award			
Personnel				
SPPD Overtime	\$40,800.00			
Total	\$40,800.00			
Payroll Taxes and Fringe				
17.65% (Medicare and PERA)	\$7,200.00			
Total	\$7,200.00			
Program Expenses				
Investigative	\$1,790.00			
Total	\$1,790.00			
Office Expenses				
Printing	\$710.00			
Total	\$710.00			
Contracted Services				
Ramsey County Sheriff's Office	\$12,500.00			
Total	\$12,500.00			
Total	\$63,000.00			

The Grantee (which refers to the applicant's status after it has been awarded grant funds) shall comply with all applicable federal, state and local laws, ordinances, rules and regulations and provisions stated herein in the performance of the grant award.

1. Survival of Terms

The following clauses survive the expiration or cancellation of the award: 9. Liability; 10. Audits; 11. Government Data Practices; 13. Publicity and Endorsement; 14. Governing Law, Jurisdiction and Venue; and 16. Data Disclosure.

2. Financial and Administrative Provisions

The Grantee will comply with all program guidelines specified in the Grant Program Guidelines (Guidelines) and application which are incorporated herein by reference.

Budget Revisions: The Grantee will submit a written change request for any substitution of budget items or any deviation in accordance with the Guidelines included in this application. Grantees whose requests have been approved will be notified in writing by the State's Authorized Representative to the Grantee's Authorized Representative. Requests must be approved prior to any expenditure by the Grantee.

3. Payment Terms

Payment: The State will promptly pay the Grantee after the Grantee presents an invoice for the services actually performed and the State's Authorized Representative accepts the invoiced services in accordance with the Guidelines included in this application. Expenditures for each state fiscal year (July through June) of the grant agreement must be for services satisfactorily performed within applicable state fiscal years.

Under Minn. Stat. § 16B.98 subd. 1, the Grantee agrees to minimize administrative costs.

4. Time

The Grantee must comply with all the time requirements described in the application and grant agreement. In the performance of the award, time is of the essence.

5. Consideration and Payment

The State will pay for all services performed by the Grantee under the grant agreement as a reimbursement according to the breakdown of costs contained in the Guidelines and Grantee's application that will be incorporated into the grant agreement.

Under Minn. Stat. § 16B.98, subd. 7, payments to the Grantee may not be issued until the grant agreement is fully executed.

6. Conditions of Payment

All services provided by the Grantee under the grant agreement must be performed to the State's satisfaction, as determined at the sole discretion of the State's Authorized Representative so named in the grant agreement and in accordance with all applicable federal, state, and local laws, ordinances, rules and regulations. The Grantee will not receive payment for work found by the State to be unsatisfactory or performed in violation of federal, state or local law.

7. Authorized Representative

The State's Authorized Representative or his/her successor, is so named in the grant agreement and has the responsibility to monitor the Grantee's performance and has the

authority to accept the services provided under the grant agreement opportunity. If the services are satisfactory, the State's Authorized Representative will certify acceptance on each invoice submitted for payment.

The Grantee's Authorized Representative is so named in the grant agreement. If the Grantee's Authorized Representative changes at any time during the grant agreement, the Grantee must immediately notify the State.

8. Assignment, Amendments, Waiver, and Grant Agreement Complete

The Grantee may neither assign nor transfer any rights or obligations under the grant agreement without the prior consent of the State and a fully executed Amendment, executed and approved by the same parties who executed and approved the grant agreement, or their successors in office.

Any amendment to the grant agreement must be in writing and will not be effective until it has been executed and approved by the same parties who executed and approved the original grant agreement, or their successors in office.

If the State fails to enforce any provision of the grant agreement, that failure does not waive the provision or its right to enforce it.

The grant agreement contains all negotiations and agreements between the State and the Grantee. No other understanding regarding the grant agreement, whether written or oral, may be used to bind either party.

9. Liability

Grantee must indemnify, save and hold the State, its agents, and employees harmless from any claims or causes of action, including all attorneys' fees incurred by the State arising from the performance of the grant agreement by the Grantee or the Grantee's agents or employees. This clause will not be construed to bar any legal remedies the Grantee may have for the State's failure to fulfill its obligations under the grant agreement and subsequent grant agreements. The liability for Grantees that are municipalities is governed by Minn. Stat. § 466 and any other applicable law, rule or regulation.

10. Audits

Under Minn. Stat. § 16B.98, subd. 8, the books, records, documents, and accounting procedures and practices of the Grantee or other party that are relevant to the grant agreement or transaction are subject to examination by the State, and/or the State Auditor or Legislative Auditor as appropriate, for a minimum of six years from the grant agreement end date, receipt and approval of all final reports, or the required period of time to satisfy all state and program retention requirements, whichever is later. Federal audits shall be governed by requirements of federal regulations.

If applicable, if the Grantee (known as the "subrecipient" in the Code of Federal Regulations) receives federal assistance from the State of Minnesota, it will comply with the Single Audit Act Amendments of 1996 and the Office of Management and Budget's Uniform Guidance: Cost Principles, Audit, & Administrative Requirements for Federal Awards, Subpart F (2 CFR 200) ; and, required audit reports must be filed with the State Auditor's Office, Single Audit Division, and with federal and state agencies providing federal assistance, and the Department of Public Safety within nine months of the Grantee's fiscal year end.

11. Government Data Practices

The Grantee and the State must comply with the Minnesota Government Data Practices Act, Minnesota Statutes, Chapter 13, as it applies to all data provided by the State under the grant agreement, and as it applies to all data created, collected, received, stored, used, maintained or disseminated by the Grantee under the grant agreement. The civil remedies of Minnesota Statutes, section 13.08 apply to the release of the data referred to in this clause by either the Grantee or the State.

If the Grantee receives a request to release the data referred to in this clause, the Grantee must immediately notify the State. The State will give the Grantee instructions concerning the release of the data to the requesting party before the data is released.

12. Workers' Compensation

Grantee certifies that it is in compliance with Minnesota Statutes, § 176.181, subdivision 2, pertaining to workers' compensation insurance coverage. The Grantee's employees and agents will not be considered State employees. Any claims that may arise under the Minnesota Workers' Compensation Act on behalf of these employees and any claims made by any third party as a consequence of any act or omission on the part of these employees are in no way the State's obligation or responsibility.

13. Publicity and Endorsement

Any publicity regarding the subject matter of the grant agreement must be in accordance with the Guidelines included in this application. The Grantee must not claim that the State endorses its products or services.

14. Governing Law, Jurisdiction, and Venue

Minnesota law, without regard to its choice-of-law provisions, governs the grant agreement. Venue for all legal proceedings out of the grant agreement, or its breach, must be in the appropriate state or federal court with competent jurisdiction in Ramsey County, Minnesota.

15. Termination

Termination by the State. The State may cancel the grant agreement at any time, with or without cause, upon 30 days' written notice to the Grantee. Upon termination, the Grantee will be entitled to payment, determined on a pro rata basis, for services satisfactorily performed.

Termination by the Grantee. The Grantee may request termination upon 30 day's notice to the State's Authorized Representative. Upon termination, the Grantee is entitled to payment for services actually performed and agrees to return any unused funds to the State.

Termination for Insufficient Funding. The State may immediately terminate the grant agreement if it does not obtain funding from the Minnesota Legislature, or other funding source; or if funding cannot be continued at a level sufficient to allow for the payment of the services under the grant agreement. Termination must be by written or fax notice to the Grantee. The State is not obligated to pay for any services that are provided after notice and effective date of termination. However, the Grantee will be entitled to payment, determined on a pro rata basis, for services satisfactorily performed to the extent that funds are available. The State will not be assessed any penalty if the grant agreement is terminated because of the decision of the Minnesota Legislature, or other funding source, not to appropriate funds. The State must provide the Grantee notice of the lack of funding within a reasonable time of the State receiving that notice.

Termination for Failure to Comply. The State may cancel the grant agreement immediately if the State finds that there has been a failure to comply with the provisions of the grant award, that reasonable progress has not been made or that the purpose for which the funds were granted have not been or will not be fulfilled. The State may take action to protect the interests of the State of Minnesota, including the refusal to disburse additional funds and requiring the return of all or part of the funds already disbursed.

16. Data Disclosure

Under Minnesota Statutes, § 270C.65, and other applicable law, the Grantee consents to disclosure of its social security number, federal employer tax identification number, and/or Minnesota tax identification number, already provided to the State, to federal and state tax agencies and state personnel involved in the payment of state obligations. These identification numbers may be used in the enforcement of federal and state tax laws which could result in action requiring the Grantee to file state tax returns and pay delinquent state tax liabilities, if any, or pay other state liabilities.

17. Other Provisions be it understood:

- a. By filing of this application, the applicant has therefore obtained the necessary legal authority to apply for and receive the proposed grant;
- b. The filing of this application has been authorized by applicant's governing body, and the official who has applied his/her electronic signature to this application has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the representative of the applicant in connection with this application;
- c. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of applicant;
- d. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;

Sex Trafficking Investigations and Training 2016

Organization: St Paul Police Department

A-STIT-2016-SPPD-00022

Project Information Form

1. FISCAL AGENT (This is the agency named in the grant contract that will be responsible for the administration of the grant.)

Legal Name: City of Saint Paul Police Department
Address: 367 Grove Street
City: Saint Paul
Federal ID #: 848898433

Phone: 651-266-5541
Fax: 651-266-5542
Zip Code: 55076
State ID #: 8025095

2. AUTHORIZED REPRESENTATIVE (This is the person whose name should appear in the grant contract and who will be responsible for ensuring that the terms and conditions of the contract are met. This person does not have the signature authority, but must be an employee of the fiscal agent cited in #1.)

Name & Title: Chief Thomas E. Smith
Address: Same
City: Same
Email: tom.smith@ci.stpaul.mn.us

Phone: 651-266-5588
Fax: 651-266-5542
Zip Code: same

3. OPERATING AGENCY (IF DIFFERENT FROM #1) (In most cases, this is the primary service provider.)

Legal Name:
Address: 367 Grove Street
City: Saint Paul

Phone:
Fax: 651-266-5542
Zip Code: 55076

4. PROGRAM MAIN CONTACT (This is the person that OJP can contact for any programmatic questions.)

Name & Title: Robert Thomasser
Address: same
City: same
Email: Robert.thomasser@ci.stpaul.mn.us

Phone: 651-266-5888
Fax: 651-266-5542
Zip Code: same

5. FINANCIAL CONTACT (This is the person that OJP can contact for any financial questions.)

Name & Title: Wendy Trebesch
Address: same
City: same
Email: wendy.trebesch@ci.stpaul.mn.us

Phone: 651-266-5568
Fax: 651-266-5542
Zip Code: same

6. CONTRACT MAILING CONTACT: (Which individual above should receive the contract packet in the mail and be responsible for obtaining the correct signatures on the contract and completing the necessary forms?)

Name: wendy.trebesch@ci.stpaul.mn.us

7. PROJECT INFORMATION

Project Name: Human Trafficking Capacity Building Multi-Jurisdictional Project

Project Start Date: 1/1/2016

Project End Date: 12/31/2017

Total Funds Requested: \$122,916

Legislative District: 4

County/Counties Served by the Project: Ramsey

Is this a new project?

Project Abstract:

The SPPD and the RCSO will complete a multi-faceted plan including human trafficking investigations, developing new investigative techniques, and providing assistance to other MN law enforcement

MINNESOTA DEPARTMENT OF PUBLIC SAFETY

Application: A-STIT-2016-SPPD-00022

Budget Item Program Component: Sex Trafficking Investigations: Human Trafficking Capacity Building

Budget Category: Contracted Services

Budget Description: Ramsey County Sheriff's Office

Overtime will be utilized to increase investigative capacity allowing the Ramsey County Sheriff's Office's investigative team to invest time into the partnership with SPPD for this project.

Budget Item Program Component Total:

Award	\$12,500.00	Match	\$ 0.00
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Budget Category: Office Expenses

Budget Description: Printing

Printing and materials for publishing case studies. 406 each X 1.75 = \$710

Budget Item Program Component Total:

Award	\$710.00	Match	\$ 0.00
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Budget Category: Payroll Taxes and Fringe

Budget Description: 17.65% (Medicare and PERA)

17.65% (Medicare and PERA)

Budget Item Program Component Total:

Award	\$7,200.00	Match	\$ 0.00
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Budget Category: Personnel

Budget Description: SPPD Overtime

Overtime will be utilized to increase investigative capacity allowing the Ramsey County SO and Saint Paul Police (SPPD) investigative team to invest time into unproven methodologies. Once tried and refined, SPPD and Ramsey County Sheriff's Office will publish their results in a series of case studies for the benefit of all Minnesota investigators, prosecutors and other professionals fighting human trafficking. Officer OT: 308 Hours X \$52.922 = \$16,300 Sergeant OT: 417.5 Hours X \$58.683 = \$24,500

Budget Item Program Component Total:

Award	\$40,800.00	Match	\$ 0.00
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Budget Category: Program Expenses

Budget Description: Investigative

Including service provider accounts, IP addresses, and other investigative confidential services and supplies.

Budget Item Program Component Total:

Award	\$1,790.00	Match	\$ 0.00
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MINNESOTA DEPARTMENT OF PUBLIC SAFETY

Application: A-STIT-2016-SPPD-00022

Budget Item Program Component Total:

Total: \$ 1,790.00 \$ 0.00
\$ 63,000.00 \$ 0.00

Budget Summary

Sex Trafficking Investigations: Human Trafficking Capacity	Award			
Building				
Budget Category	Award			
Personnel				
SPPD Overtime	\$40,800.00			
Total	\$40,800.00			
Payroll Taxes and Fringe				
17.65% (Medicare and PERA)	\$7,200.00			
Total	\$7,200.00			
Program Expenses				
Investigative	\$1,790.00			
Total	\$1,790.00			
Office Expenses				
Printing	\$710.00			
Total	\$710.00			
Contracted Services				
Ramsey County Sheriff's Office	\$12,500.00			
Total	\$12,500.00			
Total	\$63,000.00			

Budget : SPPD Overtime

Select the appropriate Program Component for this budget item:

Sex Trafficking Investigations: Human Trafficking Capacity Building

Select the appropriate Budget Category for this budget item:

Personnel

Provide a short description for this budget item (should be unique to this budget):

SPPD Overtime

Provide a more detailed description for this budget item:

Overtime will be utilized to increase investigative capacity allowing the Ramsey County SO and Saint Paul Police (SPPD) investigative team to invest time into unproven methodologies. Once tried and refined, SPPD and Ramsey County Sheriff's Office will publish their results in a series of case studies for the benefit of all Minnesota investigators, prosecutors and other professionals fighting human trafficking. Officer OT: 308 Hours X \$52,922 = \$16,300
Sergeant OT: 417.5 Hours X \$58,683 = \$24,500

Enter the dollar amounts associated with the budget item:

Award

\$40,800.00

Match

Organization: St Paul Police Department

Sex Trafficking Investigations and Training 2016

A-STIT-2016-SPPD-00022

Budget : 17.65% (Medicare and PERA)

Select the appropriate Program Component for this budget item:

Sex Trafficking Investigations: Human Trafficking Capacity Building

Select the appropriate Budget Category for this budget item:

Payroll Taxes and Fringe

Provide a short description for this budget item (should be unique to this budget):

17.65% (Medicare and PERA)

Provide a more detailed description for this budget item:

17.65% (Medicare and PERA)

Enter the dollar amounts associated with the budget item:

Award

\$7,200.00

Match

Budget : Investigative

Select the appropriate Program Component for this budget item:

Sex Trafficking Investigations: Human Trafficking Capacity Building

Select the appropriate Budget Category for this budget item:

Program Expenses

Provide a short description for this budget item (should be unique to this budget):

Investigative

Provide a more detailed description for this budget item:

Including service provider accounts, IP addresses, and other investigative confidential services and supplies.

Enter the dollar amounts associated with the budget item:

Award

\$1,790.00

Match

Organization: St Paul Police Department

Sex Trafficking Investigations and Training 2016

A-STIT-2016-SPPD-00022

Budget : Printing

Select the appropriate Program Component for this budget item:

Sex Trafficking Investigations: Human Trafficking Capacity Building

Select the appropriate Budget Category for this budget item:

Office Expenses

Provide a short description for this budget item (should be unique to this budget):

Printing

Provide a more detailed description for this budget item:

Printing and materials for publishing case studies. 406 each X 1.75 = \$710

Enter the dollar amounts associated with the budget item:

Award

\$710.00

Match

Organization : St Paul Police Department

Sex Trafficking Investigations and Training 2016

A-STIT-2016-SPPD-00022

Budget : Ramsey County Sheriff's Office

Select the appropriate Program Component for this budget item:

Sex Trafficking Investigations: Human Trafficking Capacity Building

Select the appropriate Budget Category for this budget item:

Contracted Services

Provide a short description for this budget item (should be unique to this budget):

Ramsey County Sheriff's Office

Provide a more detailed description for this budget item:

Overtime will be utilized to increase investigative capacity allowing the Ramsey County Sheriff's Office's investigative team to invest time into the partnership with SPPD for this project. 206.25 Hours X \$60.607 OT rate including benefits.

Enter the dollar amounts associated with the budget item:

Award

\$12,500.00

Match

Budget Summary

Sex Trafficking Investigations: Human Trafficking Capacity	Award		
Building			
Budget Category	Award		
Personnel			
SPPD Overtime	\$40,800.00		
Total	\$40,800.00		
Payroll Taxes and Fringe			
17.65% (Medicare and PERA)	\$7,200.00		
Total	\$7,200.00		
Program Expenses			
Investigative	\$1,790.00		
Total	\$1,790.00		
Office Expenses			
Printing	\$710.00		
Total	\$710.00		
Contracted Services			
Ramsey County Sheriff's Office	\$12,500.00		
Total	\$12,500.00		
Total	\$63,000.00		

Financial Status Report Summary

Sex Trafficking Investigations Personnel	Total Award	Award Expended	Award Remaining Total	Match Expended	Match Remaining
SPPD Overtime	\$40,800.00	\$0.00	\$40,800.00	\$0.00	\$0.00
Personnel Totals	\$40,800.00	\$0.00	\$40,800.00	\$0.00	\$0.00
Payroll Taxes and Fringe 17.65% (Medicare and PERA)	Total Award \$7,200.00	Award Expended \$0.00	Award Remaining Total \$7,200.00	Match Expended \$0.00	Match Remaining \$0.00
Payroll Taxes and Fringe Totals	\$7,200.00	\$0.00	\$7,200.00	\$0.00	\$0.00
Program Expenses Investigative	Total Award \$1,790.00	Award Expended \$0.00	Award Remaining Total \$1,790.00	Match Expended \$0.00	Match Remaining \$0.00
Program Expenses Totals	\$1,790.00	\$0.00	\$1,790.00	\$0.00	\$0.00
Office Expenses Printing	Total Award \$710.00	Award Expended \$0.00	Award Remaining Total \$710.00	Match Expended \$0.00	Match Remaining \$0.00
Office Expenses Totals	\$710.00	\$0.00	\$710.00	\$0.00	\$0.00
Contracted Services	Total Award	Award Expended	Award Remaining Total	Match Expended	Match Remaining

Financial Status Report Summary

Ramsey County Sheriff's Office	\$12,500.00	\$0.00	\$12,500.00	\$0.00	\$0.00	\$0.00	\$0.00
Contracted Services Totals	\$12,500.00	\$0.00	\$12,500.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTALS	\$63,000.00	\$0.00	\$63,000.00	\$0.00	\$0.00	\$0.00	\$0.00
OVERALL TOTALS	\$63,000.00	\$0.00	\$63,000.00	\$0.00	\$0.00	\$0.00	\$0.00

**MINNESOTA DEPARTMENT OF PUBLIC SAFETY
OFFICE OF JUSTICE PROGRAMS**

PROJECT WORK PLAN

APPLICANT AGENCY: Saint Paul Police Department (SPPD)			
GOAL: Decrease the demand for commercial exploitation of girls and young adults by strengthening investigative capacity through the development of innovative investigative methodologies not currently utilized			
Outcome Number: 1	Outcome (must address at least one outcome from page one): Prove and document successful (atypical) investigative strategies which rescue young adult or juvenile victims and lead to trafficker and “john” prosecutions		
Activities resulting in outcome listed above	Number of Participants and Frequency of Activity	Time Frame	Person Responsible
Research and plan four (4) or more innovative investigative strategies not currently or commonly utilized by MN human Trafficking investigators. Note- Case methodologies will emphasize victim identification, trafficking network identification and the methods to impact the customers (demand) beyond “john” details. Develop criminal cases consistent with the identified methodologies and document case activity for later case study publication and training, (referenced in Outcome 2 & 4)	8 investigators from the SPPD and Ramsey County Sheriff’s Office (RCSO) directed by SPPD commander 8 investigators directed by one commander	Begins the first quarter of Funding Casework begins within the first quarter	Commander R. Thomasser Commander R. Thomasser
Outcome Measurement: How do you measure this outcome? What is its operational definition?	Data Source	When collected?***	Person Responsible
Publication of no fewer than two (2) case studies highlighting investigative steps, progress, challenges and outcomes citing atypical methods.	Investigative team, investigative notes and case	Duration of the project	Commander R. Thomasser

Saint Paul Police and RCSO will track case data associated with victims, trafficking groups and the customer base.	files Departmental record keeping databases.	Duration of the project	Commanders R. Thomasser & R. O'Neill
Document traffickers prosecuted, victims rescued and criminal organizations disrupted. (Data collection will lead to a better understanding of the human trafficking trends in Minnesota.)	Departmental record keeping databases.	Duration of the project	SPPD and RCSO data teams
Collect data on tips not investigated due to time or workforce limitations	Unit data collection	Duration of the project	SPPD and RCSO data teams
Issues associated with this outcome or its measurement :			
**At a minimum you should be collecting data when a youth/family enters your program and when they are discharged. If this is not possible or does not make sense for your outcome, please explain why in the area above for discussing issues.			

**MINNESOTA DEPARTMENT OF PUBLIC SAFETY
OFFICE OF JUSTICE PROGRAMS**

PROJECT WORK PLAN

APPLICANT AGENCY: Saint Paul Police Department (SPPD)			
GOAL: Decrease the demand for the commercial sexual exploitation of girls and young adults and provide training/consultation for law enforcement agencies throughout the state.			
Outcome Number: 2	Outcome (must address at least one outcome from page one): Publish case studies and training to MN law enforcement which can be utilized by Minnesota human trafficking investigators increasing their investigative knowledge and efficiency.		
Activities resulting in outcome listed above	Number of Participants and Frequency of Activity	Time Frame	Person Responsible
Publish one case study highlighting a human trafficking investigation	All 8 investigators One	No later than 6 th quarter	Commanders R. Thomasser and

connected to money laundering scheme or utilization of the legal U.S. financial system	time event occurring throughout quarters 2-8		R. O'Neill
Publish one case study reflecting an atypical human trafficking investigation resulting from a methodology described in Outcome number one.	All 8 investigators One time event occurring throughout quarters 2-8	No later than Quarter 8	Commanders R. Thomasser and R. O'Neill
Outcome Measurement: How do you measure this outcome? What is its operational definition?	Data Source	When collected?***	Person Responsible
The publication of three (or more) published documents with specific identified criteria highlighting investigative strategies.	Case files, investigative team, and departmental records	Throughout the program	Commander R. Thomasser, R. O'Neill and assigned investigators and record unit staff
Issues associated with this outcome or its measurement :			
**At a minimum you should be collecting data when a youth/family enters your program and when they are discharged. If this is not possible or does not make sense for your outcome, please explain why in the area above for discussing issues.			

**MINNESOTA DEPARTMENT OF PUBLIC SAFETY
OFFICE OF JUSTICE PROGRAMS**

PROJECT WORK PLAN

APPLICANT AGENCY: Saint Paul Police (SPPD)			
GOAL: Increase investigative capacity of an existing multijurisdictional entity to reduce the demand for the sexual exploitation of girls and young adults			
Outcome Number: 3	Outcome (must address at least one outcome from page one): Conduct sophisticated investigations that expand evidence collection areas which expose complex human trafficking networks controlling large numbers of victims		
Activities resulting in outcome	Number of Participants and	Time Frame	Person Responsible

listed above	Frequency of Activity		
Utilizing the researched methodologies (referenced in Outcome 1), investigators will conduct investigations of a complex nature employing methods not widely utilized in Minnesota.	Entire investigative team working throughout the project	Entire Project	Entire investigative team
Conduct monthly case review meetings involving appropriate partners (prosecutors, victim advocates, record keeping personnel) ensuring cases are on tracked and scheduled to maximize investigative outcomes.	Entire investigative team Monthly	Entire Project	Commander R. Thomasser, project coordinator
Document case progress, successes, challenges and lessons throughout the evolution of several specific cases for later publication and training (referenced cases studies in Outcome 2 and 4)	Entire investigative team working throughout the project	Entire Project	Commanders R. Thomasser, R. O'Neil and assigned staff
Report activities to MN Safe Harbor navigator	Monthly	Entire Project	Thomasser/Gainey
Outcome Measurement: How do you measure this outcome? What is its operational definition?	Data Source	When collected?***	Person Responsible
Quantitative data associated with victims, suspects, arrests, prosecutions, rescues, evidence gathered, etc.	Case files, investigative team members, departmental databases, court records	Throughout the entire project	Commanders R. Thomasser, R. O'Neil and assigned staff
Issues associated with this outcome or its measurement :			
**At a minimum you should be collecting data when a youth/family enters your program and when they are discharged. If this is not possible or does not make sense for your outcome, please explain why in the area above for discussing issues.			

Organizational Capacity

The Saint Paul Police Department (SPPD) began its pioneering fight against the atrocities of human trafficking more than a decade ago. In fact, the SPPD is the only local law enforcement agency in the State of Minnesota with an anti-human trafficking unit in its organizational chart and four full-time employees. The SPPD recognized early in its fight that human trafficking knows no boundaries, geographical or any other, and has worked to collaborate with other organizations to maximize desired outcomes. Examples of this collaboration include the formation and continued leadership of the unfunded multi-agency Gerald D. Vick Human Trafficking Task Force (GVHTTF), a consortium of law enforcement disciplines of more than 45 agencies. Additionally the SPPD has established a formal partnership with the Ramsey County Sheriff's Office (RCSO), the Ramsey County Attorney's office, the Minnesota Safe Harbor network and numerous victim advocacy groups. The anti-human trafficking initiative also partners with internal SPPD and RCSO investigative units including the money laundering, special investigation and sex crimes units respectively.

Beyond the efforts of the GVHTTF, the SPPD and RCSO have rigorously partnered on anti-human trafficking efforts in the county and city and beyond local borders when necessary. Sharing an office space for several years, SPPD and RCSO human trafficking investigators have worked to manage and respond to local reports of human trafficking. These investigators have received hundreds of training hours on this topic and have been teaching the topic around the state for years. Supported by two police administrations that unequivocally support the mission and arguably the most proactive anti-human trafficking county attorney in the state (John Choi), there are no tasks too complicated for this team. The SPPD and RCSO human trafficking units propose this initiative to increase capacity by developing methods to locate hidden victims and traffickers and bring new techniques and opportunities to the entire Minnesota anti-human trafficking initiative. The agency budgets for the SPPD and RCAO are 90 million and 50 million respectively.

Needs statement

Human trafficking occurs every day in Minnesota, Ramsey County and Saint Paul. Since the beginning of 2014 in Ramsey County alone, dozens of traffickers have been prosecuted and real victims have been rescued and referred to services; victims who now live safe and regular lives. But the extent of human trafficking and number of victims is not fully understood. Human trafficking is a complicated and predominately hidden problem in Minnesota, requiring a comprehensive and coordinated response among law enforcement and service providers. Investigating this crime is further complicated because victims, including both youth and adults, are elusive and fearful of cooperating with law enforcement. Most victims of sex trafficking in Minnesota are believed to be exploited through forced prostitution. The Federal Bureau of Investigation (F.B.I.) has identified the Twin Cities metro area as one of 13 areas in the United States with a high concentration of criminal enterprises promoting juvenile commercial sexual exploitation. (Minneapolis Division F.B.I., Priorities, http://minneapolis.f.b.i.gov/priorities.htm#violent_crime). Although there is ample data available through academic research, SPPD and RCSO know from the experience of conducting human trafficking investigations, Minnesota law enforcement needs additional tools and resources to effectively counter this crime.

The SPPD and RCSO, through their leadership roles with the GVHTTF, have documented three predominant ways Minnesota law enforcement encounter victims. 1). Departments (including the SPPD and RCSO) are encountering victims following some other crime event- such as a physical assault, sexual assault at the hands of their trafficker, or when the victim commits an unrelated crime often for basic survival. 2). Departments find victims while investigating reports of runaway youth. This theory is supported by a 2014 University of Minnesota study (University of Minnesota UROC, Mapping the Market for Sex with Trafficked Minor Girls in Minneapolis: Structures, Functions, and Patterns, <http://uroc.umn.edu/sextrafficking>, 2014). 3). Many departments have recently chosen to initiate trafficking cases using a version of a prostitution "sting." These simple investigative efforts usually involve backpage.com, or similar site and usually identify a woman involved in prostitution or a man attempting to engage in a commercial sex act. The harsh reality, no matter what leads to contact with a victim, a successful trafficking prosecution is plausible, but not likely.

In 2014 and 2015, the SPPD and RCSO provided training and technical assistance to many Minnesota law enforcement agencies on how to conduct "john" stings which have become a common tactic. Although used as a front line technique, the SPPD and RCSO have learned from their experiences and from other departments, that the stings normally do not effectively combat organized trafficking networks. Because of this, the SPPD and RCSO shifted focus toward identifying traffickers of juveniles and most recently, the "johns" who engage in commercial sex acts with juveniles under the control of a trafficker. Recently, the SPPD went to trial against a City of White Bear Lake business owner who was identified as a regular customer by a convicted trafficker. The result was a guilty verdict rendered by a jury, believed to be the first trial of its kind in the state. The knowledge of how to efficiently and effectively conduct investigations, locate victims beyond backpage.com or how to discover the hidden underworld remains as an articulable gap in Minnesota. The SPPD and RCSO propose below to utilize funding to close this gap by identifying effective investigative strategies which deviate from the status quo and publish their findings which will result with a more informed and effective fight against human trafficking in Minnesota.

Project description

The SPPD and RCSO propose to develop proactive innovative investigative techniques to identify and prosecute human trafficking crimes that are not currently utilized by Minnesota law enforcement. The techniques will be proven through conducting human trafficking investigations that investigators cannot normally work due to daily workload demands. Supplemental to the regular reporting requirements, the SPPD and RCSO will also produce no fewer than two case study reports which will be made available during training sessions for other Minnesota law enforcement agencies and prosecutors.

The primary goals of this project are to research and develop new investigative avenues into the veiled human trafficking world, prove the success of these investigative techniques and document and report specific case studies culminating with the publication of investigative templates. A more sophisticated and better informed law enforcement community working to

end human trafficking will undoubtedly decrease the demand for commercial sexual exploitation of juveniles and young adults.

This proposal hypothesizes that Minnesota law enforcement is only scratching the surface and there are currently few, if any, local departments effectively working the sophisticated and deeply hidden underworld that victimizes individuals not normally exposed to law enforcement. It also intends to demonstrate that investigative leads and successes can come from expanding the partner base. During this project, human trafficking investigators will partner with the SPPD money laundering team and focus on the money service businesses (MSB's) who annually report thousands of money transactions believed to be connected to human trafficking. This one initiative will close gaps that exist between human trafficking investigators and the banks reporting suspicious activity they believe is human trafficking. The Polaris Project reports human trafficking is a 150 billion dollar industry, <http://www.polarisproject.org/human-trafficking/overview>. Even if only a fraction is true, evidence can be uncovered through the banking industry. Another strategy will be to identify current and hidden marketing strategies employed by traffickers beyond Backpage.com. The theory is that the sophisticated traffickers having control of dozens or more victims rather than one or two will become apparent when investigative approaches are equally sophisticated. The SPPD and RCSO will go into this uncharted path to bring these groups to justice, document investigative challenges and successes and provide training so other investigators can adopt (and refine) these strategies in their own jurisdiction. The entire endeavor will be conducted in coordination with the Safe Harbor Regional Navigator who can lead a statewide discussion among that peer group thus strengthening every professional resource fighting against human trafficking in Minnesota. This interaction will be seamless as both the SPPD and RCSO are already deeply invested with the State's Safe Harbor Program.

Evaluation

The SPPD and RCSO are both supported by departmental research and development units which will assist with data collection. Quantitative data specific to case volumes, location, charges, victims identified, victim referrals, suspect convictions, demographics, investigative time, etc, will be available for quarterly and annual reporting. Evaluation of the project will not only include the above listed quantitative data. The goal of this project is to apply the resources allocated within the budget to developing, documenting and demonstrating new investigative techniques for Minnesota law enforcement. A priority deliverable is publication of no fewer than two case studies highlighting complex (but manageable) cases atypical to current investigative procedures. The financial return for this project will undoubtedly benefit both Saint Paul and Ramsey County. However, the real benefit will be to Minnesota law enforcement agencies just beginning to investigate human trafficking or have limited investigations to common "john" details, and the victims and potential victims served.

Budget Category	Short Description	Calculation	Amount
Personnel	SPPD Overtime	Officer OT: 308 Hours X \$52.922 = \$16,300	\$40,800
		Sergeant OT: 417.5 Hours X \$58.683 = \$24,500	
Fringe	Fringe on Overtime	17.65% (Medicare and PERA)	\$7,200
Contract Services	Ramsey County Sheriff's Office	206.25 Hours X \$60.607 OT rate including benefits	\$12,500
Office Expense	Printing	406 each X \$1.75 = \$710	\$710
Program Expense	Investigative		\$1,790
		Total Budget	\$63,000